



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/419,386	10/15/99	FOX	B TRI-003

JEANETTE S HARMS
BEVER & HOFFMAN LLP
SUITE 320
2099 GATEWAY PLACE
SAN JOSE CA 95110-1017

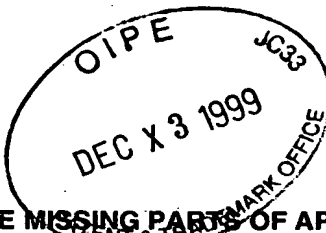
0262/1109

NOT ASSIGNED

2819

DATE MAILED:

11/09/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 1200

☒ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ 312 for 4 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are missing from the oath or declaration in compliance with 37 CFR 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

P. Walker

Customer Service Center
Initial Patent Examination Division (703) 308-1202

12/06/1999 RTSGATE 00000019 09419386
\$5.00
\$30.00
\$156.00
FC:205
FC:201
FC:202
U.S. GPO 1999 400-5875

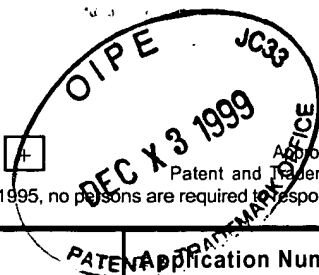
Please type a plus sign (+) inside this box → ☐

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/419,386

Filing Date

October 15, 1999

First Named Inventor

Brian Fox

Group Art Unit

2819

Examiner Name

unknown

Total Number of Pages in This Submission

11

Attorney Docket Number

TRI-003

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Response
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/Incomplete Application
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☒ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☒ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

Return Receipt Postcard
Combined Declaration
and Power of Attorney

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Jeanette S. Harms

Signature

Date

11/29/99

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 11/29/1999

Typed or printed name

Rebecca A. Baumann

Signature

Rebecca A. Baumann

Date

11/29/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$641.00)

Complete if Known

Application Number 09/419,386
Filing Date October 15, 1999
First Named Inventor Brian Fox
Examiner Name unknown
Group / Art Unit 2819
Attorney Docket No. TRI-003

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 50-0574
Deposit Account Name Bever & Hoffman, LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code (\$)	Entity Fee Code (\$)	Small Fee Code (\$)	Fee Description	Fee Paid
101	760	201	380 Utility filing fee	380.00
106	310	206	155 Design filing fee	
107	480	207	240 Plant filing fee	
108	760	208	380 Reissue filing fee	
114	150	214	75 Provisional filing fee	

SUBTOTAL (1) (\$ 380.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
13	-20** = 0	9.00	0.00
7	-3** = 4	39.00	156.00
Multiple Dependent			0.00

**or number previously paid, if greater; For Reissues, see below

Large Fee Code (\$)	Entity Fee Code (\$)	Small Fee Code (\$)	Fee Description	Fee Paid
103	18	203	9 Claims in excess of 20	
102	78	202	39 Independent claims in excess of 3	
104	260	204	130 Multiple dependent claim, if not paid	
109	78	209	39 ** Reissue independent claims over original patent	
110	18	210	9 ** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 156.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code (\$)	Entity Fee Code (\$)	Small Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	65.00
127	50	227	25 Surcharge - late provisional filing fee or cover sheet.	0.00
139	130	139	130 Non-English specification	0.00
147	2,520	147	2,520 For filing a request for reexamination	0.00
112	920*	112	920* Requesting publication of SIR prior to Examiner action	0.00
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	0.00
115	110	215	55 Extension for reply within first month	0.00
116	380	216	190 Extension for reply within second month	0.00
117	870	217	435 Extension for reply within third month	0.00
118	1,360	218	680 Extension for reply within fourth month	0.00
128	1,850	228	925 Extension for reply within fifth month	0.00
119	300	219	150 Notice of Appeal	0.00
120	300	220	150 Filing a brief in support of an appeal	0.00
121	260	221	130 Request for oral hearing	0.00
138	1,510	138	1,510 Petition to institute a public use proceeding	0.00
140	110	240	55 Petition to revive - unavoidable	0.00
141	1,210	241	605 Petition to revive - unintentional	0.00
142	1,210	242	605 Utility issue fee (or reissue)	0.00
143	430	243	215 Design issue fee	0.00
144	580	244	290 Plant issue fee	0.00
122	130	122	130 Petitions to the Commissioner	0.00
123	50	123	50 Petitions related to provisional applications	0.00
126	240	126	240 Submission of Information Disclosure Stmt	0.00
581	40	581	40 Recording each patent assignment per property (times number of properties)	40.00
146	760	246	380 Filing a submission after final rejection (37 CFR 1.129(a))	0.00
149	760	249	380 For each additional invention to be examined (37 CFR 1.129(b))	0.00
Other fee (specify)				0.00
Other fee (specify)				0.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 105.00)

SUBMITTED BY

Typed or Printed Name Jeanette S. Harms

Signature

Date

11/29/99

Complete (if applicable)

Reg. Number 35,537

Deposit Account User ID

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

Docket Number (Optional)
TRI-003

Applicant, Patentee, or Identifier: XXXXXXXXXX Brian Fox and Andreas Papaliolios

Application or Patent No.: 09/419,386

Date of Issue: October 15, 1999

Title: "Configuration In A Configurable System On A Chip"

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Triscend Corporation

ADDRESS OF SMALL BUSINESS CONCERN 301 North Whisman Road
Mountain View, CA 94043-3969

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

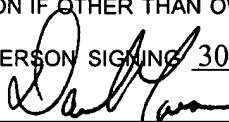
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Danesh Tavana

TITLE OF PERSON IF OTHER THAN OWNER Vice President, Engineering

ADDRESS OF PERSON SIGNING 301 North Whisman Road, Mountain View, CA 94043-3969

SIGNATURE



DATE

11.18.99